

Application for Electronic trading

電子交易申請書

To:

- Southwest Securities (HK) Brokerage Limited 西證(香港)證券經紀有限公司
 Southwest Securities (HK) Futures Limited 西證(香港)期貨有限公司

Please post or submit this form to Customer Services Department at 40/F., Lee Garden One, 33 Hysan Avenue, Causeway Bay, Hong Kong. 請郵寄或遞交此表格至 香港銅鑼灣希慎道 33 號利園一期 40 樓 客戶服務部。

I, the Customer, would like to apply for electronic trading services. I confirm to have read, understood and agreed with PART IV Section 8 and Schedule C – Additional Terms for Electronic Trading Services, and to abide by the terms and any amendments in future. I acknowledge that Southwest Securities (HK) Brokerage Limited/Southwest Securities (HK) Futures Limited would issue the trading password to my designated email address, and accept any liability related to this arrangement.

客戶現向西證(香港)證券經紀有限公司/西證(香港)期貨有限公司申請開立電子交易買賣帳戶。於下方簽署開戶前，客戶確認已細閱、明白及同意本協議第四部分第 8 項及附表 C - 電子交易服務之附加條款，並接受該等現時有效及不時修改的條款約束。客戶同意西證(香港)證券經紀有限公司/西證(香港)期貨有限公司以電郵方式將交易密碼發放到客戶指定之電郵戶口並接受以電郵傳遞密碼之所有相關風險。

Account Number 戶口號碼 :	 Client Signature(s) 客戶簽署或 Authorized Signature(s) & Company chop (if applicable) 授權簽署/公司蓋章(若適用)
Account name 客戶姓名 :	
Authorized person (if applicable) 授權人(若適用) :	
Title (if applicable) 職銜(若適用) :	
Email Address 電郵地址 :	
Electronic Trading Services 電子交易類別	Two-factor authentication 雙重認證驗證碼收取方式
Securities 證券 <input type="checkbox"/> 西證通 下載交易版+手機交易版 <input type="checkbox"/> 股票通 網上交易版+手機交易版	<input type="checkbox"/> SMS <input type="checkbox"/> Email *Designated mobile phone or email for 2FA 指定收取雙重驗證碼之手提電話或電郵信箱 : _____
Stock Options 股票期權 <input type="checkbox"/> 期權通 下載交易版	
Futures 期貨 <input type="checkbox"/> 期貨通 下載交易版+手機交易版	Date 申請日期 :

AE NAME 經紀名稱: _____ AE CODE 經紀代號: _____

EFFECTIVE DATE 生效日期 : _____

OFFICIAL USE ONLY

Checked by : AE/Staff Signature	Signature Verified and reviewed by : Customer services Department	Approved by : Responsible Officer	Data Input by : Customer services Department
Date :	Date :	Date :	Date: