

"Anti-internet Investment Scams Reminder"

To: Clients, business partners and shareholders

Southwest Securities International Securities Limited strives to strengthen and protect the benefit of its clients, business partners and shareholders.

This reminder aims to provide some guidelines and information on how to avoid internet investment scams.

1. Don't trust so easily

It is hard to tell the truth from lies in the virtual world. As such, be wary of unsolicited offers. If you see claims on social media about stock tips with guaranteed profits or inside information, stay vigilant. Even if the investment advice comes from your relatives, do not blindly follow them as your relatives may have fallen victims to the investment scams already.

2. Ask questions

Fraudsters will disguise themselves to gain your trust. They may even disguise themselves as licensed persons to offer stock trading recommendations. As such, checking the licensing or registration records is not enough, we have to take further steps to verify the identity of those who makes stock trading recommendations on social media.

3. Stay informed

The more you know, the less likely you will become the next victim. Stay on top of news relating to scams. You may also refer to the [Anti-Deception Coordination Center \(ADDC\)](#) for the latest scam information and alerts.

4. Greed knows no bounds

Greed is a very common reason for someone becoming the victim of a scam. Just remember that there is no such thing as low risk investments with high guaranteed returns – these are simply false claims made by the fraudsters to catch you. Fraudsters will use your fear of missing an opportunity against you.

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Southwest Securities International Securities Limited

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