# Southwest Securities International Securities Limited
# 西證國際證券股份有限公司*

*(Incorporated in Bermuda with limited liability)*

**(Stock Code: 812)**

## DECISION ON THE LISTING COMMITTEE ON CANCELLATION OF LISTING

This announcement is made by Southwest Securities International Securities Limited (the "**Company**") pursuant to Rule 13.09 of the Rules Governing the Listing of Securities (the "**Listing Rules**") on The Stock Exchange of Hong Kong Limited (the "**Stock Exchange**") and the Inside Information Provisions under Part XIVA of the Securities and Futures Ordinance (Chapter 571 of the Laws of Hong Kong).

Reference is made to the Company's announcements (i) dated 17 September 2023, 22 September 2023, 29 November 2023, 6 December 2023, 4 March 2024, 19 March 2024 and 28 March 2024; and (ii) the announcements dated 3 June 2024, 27 June 2024, 3 September 2024, 3 December 2024, 3 March 2025, 30 May 2025 and 29 August 2025 in relation to the quarterly update on the Company's resumption status (collectively, the "**Announcements**"). Unless the context requires otherwise, capitalised terms used herein shall bear the same meanings as defined in the Announcements.

### DECISION OF THE LISTING COMMITTEE IN CANCELLATION OF LISTING

On 12 September 2025, the Company received a letter (the "**Letter**") from the Stock Exchange stating that the Listing Committee of the Stock Exchange (the "**Listing Committee**") has decided that the Company had not fulfilled the resumption guidance by the resumption deadline on 3 September 2025 and decided to cancel the listing of the Company's shares (the "**Shares**") under Rule 6.01A(1) of the Listing Rules (the "**Decision**").

It is indicated in the Letter that the last day of listing of the Shares will be on 26 September 2025 and the listing of the Shares will be cancelled with effect from 9:00 a.m. on 29 September 2025.

The Company has performed substantial work towards resumption. However, due to objective reasons, not all the work of Resumption Guidance could be done within the stipulated time. The Company defers to the Decision made by the Listing Committee. Having considered the facts and circumstances of the Company, the Company will not apply for a review of the Decision in accordance with the Listing Rules.

## CONSEQUENCES TO THE SHAREHOLDERS

All Shareholders and investors of the Company should note that after 29 September 2025, whilst the share certificates of the Shares shall remain valid, the Shares will no longer be listed and tradeable on the Stock Exchange. Thereafter, there will be no public market for the trading of the Shares and the Company will no longer be subject to the Listing Rules.

**The Shareholders or investors who have any queries about the implications of the cancellation of listing of the Shares are advised to obtain appropriate professional advice. Shareholders and potential investors should exercise caution when dealing with the Shares.**

By order of the Board
**Southwest Securities International Securities Limited**
**Huang Changsheng**
*Executive Director and Chief Executive Officer*

Hong Kong, 15 September 2025

*As at the date of this announcement, the executive directors of the Company are Mr. Huang Changsheng (Chief Executive Officer) and Ms. Deng Xiaoting; and the independent non-executive directors of the Company are Mr. Meng Gaoyuan, Mr. Liang Jilin and Mr. Cao Ping.*

* *For identification purposes only*