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Southwest Securities International Securities Limited

西證國際證券股份有限公司*

(Incorporated in Bermuda with limited liability)

(Stock Code: 812)

APPOINTMENT OF EXECUTIVE DIRECTOR

The board of directors (the “**Board**”) of Southwest Securities International Securities Limited (the “**Company**”) hereby announces that Ms. Deng Xiaoting (“**Ms. Deng**”) has been appointed as an executive director of the Company with effect from 20 December 2024.

The biographical details of Ms. Deng are set out below:

Ms. Deng, aged 36, is currently as financial controller of finance and accounting department of the Company. She obtained a bachelor’s degree in Science (Statistics — Financial Insurance Statistics) from Shanghai University of Finance and Economics in July 2011, and received a minor certificate in International Economics and Trade from Fudan University in September 2010. Ms. Deng had successively served as a senior auditor in the institutional group of PricewaterhouseCoopers Zhong Tian LLP (China Headquarters) from October 2011 to May 2014; worked in the funds settlement position of the finance and funding department in Southwest Securities Co., Ltd. from June 2014 to June 2015; and served in various positions including head of the finance department, deputy head of the finance and accounting department, and co-head of the finance and accounting department at the Company from July 2015 to May 2021. She has served as financial controller of finance and accounting department at the Company since May 2021. Ms. Deng has over ten years of industry experience in finance and accounting.

Ms. Deng’s directorship is subject to retirement by rotation and re-election in accordance with the Listing Rules and the Company’s bye-laws. Ms. Deng has entered into a director’s service agreement with the Company and was appointed for a term of three years commencing from 20 December 2024. Pursuant to the director’s service agreement, Ms. Deng will not receive any director’s fee.

As at the date of this announcement, Ms. Deng (i) does not have any relationship with any director, senior management, substantial shareholder or controlling shareholder (as defined in the Listing Rules) of the Company; (ii) has not held any other directorship in any public companies the securities of which are listed on any securities market in Hong Kong or overseas in the last three years; and (iii) does not have, or is not deemed to have, any interests in any shares or underlying shares of the Company within the meaning of Part XV of the Securities and Futures Ordinance (Chapter 571 of the Laws of Hong Kong). Ms. Deng has confirmed that, save as disclosed above, there is no other information required to be brought to the attention of the shareholders of the Company pursuant to Rule 13.51(2) of the Listing Rules in relation to her appointment as executive director of the Company.

Reference is made to the announcement dated 21 June 2024 jointly issued by Ocean Wisdom Investments Limited (the “**Offeror**”) and the Company in relation to, among others, the possible unconditional mandatory cash offer by Goldlink Securities Limited for and on behalf of the Offeror for all the issued shares in the Company (other than those shares already owned or agreed to be acquired by the Offeror and parties acting in concert with it). The Board confirms that Ms. Deng is currently an employee of the Company and nominated by Southwest Securities Co., Ltd., the controlling shareholder of the Company, and not a nominee of the Offeror and respective persons acting in concert with it/them. There is no implication in respect of Rule 26.4 of the Takeovers Code in relation to the appointment of Ms. Deng. Further, the Company has also informed the Offeror and obtained its consent on the appointment of Ms. Deng.

The Board would like to extend a warm welcome to Ms. Deng for joining the Board.

By order of the Board
Southwest Securities International Securities Limited
Huang Changsheng
Executive Director and Chief Executive Officer

Hong Kong, 20 December 2024

As at the date of this announcement, the executive Directors of the Company are Mr. Huang Changsheng (Chief Executive Officer) and Ms. Deng Xiaoting; and the independent non-executive Directors of the Company are Mr. Meng Gaoyuan, Mr. Liang Jilin and Mr. Cao Ping.

* *For identification purpose only*