

Smart Account Subscription/Cancellation Request Form

財智帳戶申請 / 取消申請表

To: Southwest Securities (HK) Brokerage Limited 西證(香港)證券經紀有限公司
 Southwest Securities (HK) Futures Limited 西證(香港)期貨有限公司

SUBSCRIPTION 申請 :

I/We request to apply for a designated sub-account at ICBC (Asia) Limited("Smart Account") for direct deposit to my/our securities/futures account.本人/吾等向貴公司于中國工商銀行(亞洲)有限公司申請一個獨立的子帳戶 (下稱財智帳戶)。本人/吾等可透過讓子帳戶直接存入款項，並把款項存入本人/吾等的證券/期貨交易帳戶內。

Please tick the appropriate box 請在適當空格加✓

<input type="checkbox"/> Securities 證券	<input type="checkbox"/> HKD 港元 <input type="checkbox"/> USD 美元	<input type="checkbox"/> Futures 期貨	<input type="checkbox"/> HKD 港元 <input type="checkbox"/> USD 美元
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I/We would like to receive the ICBC Smart Account at the below email/postal address 本人/吾等欲以下述電郵信箱或郵寄地址收取此中國工商銀行財智帳戶號碼:

Email 電郵 _____ Postal 郵寄 _____

Declaration 聲明 :

I/We understand and agree that :

1. The above bank service is provided by ICBC (Asia) Limited ("ICBC (Asia)").
2. The Smart Account is only applicable to individual and joint securities/futures account(s). The Smart Account is a sub-account maintained at ICBC (Asia) by Southwest Securities (HK) Brokerage Limited/Southwest Securities (HK) Futures Limited. It is not a client's personal account.
3. The Smart Account is for deposit only, and does not serve transfer or withdrawal purpose.
4. I/We can make direct deposit to the securities/futures account(s) via the Smart Account.
5. The Smart Account does not accept third party deposit, unless approved by the Company.
6. If the securities/futures account(s) is/are cancelled, the respective Smart Account(s) shall become invalid with no further notice.
7. An annual service fee of HKD50 per Smart Account will be deducted from the securities/futures account(s) every 12 months. The service fee is non-refundable.
8. The Company will send the Smart Account information to my/our registered email/postal address.

本人/吾等明白及同意:

1. 以上銀行服務由中國工商銀行(亞洲)有限公司提供 (以下簡稱("工銀亞洲")。此工銀亞洲子帳戶只適用於個人及聯名證券/期貨戶口。
2. 此財智帳戶是以西證(香港)證券經紀有限公司/ 西證(香港)期貨有限公司名義開立的獨立子帳戶，並非屬於客戶個人的銀行帳戶。
3. 此工銀亞洲子帳戶只提供存款服務，不可作轉帳或提款之用。
4. 本人/吾等可透過子帳戶把款項直接存入本人/吾等上述之證券/期貨交易帳戶內。
5. 此財智帳戶恕不接受第三者(帳戶持有人以外的人士)的存款，除非獲得本公司同意。
6. 如證券/期貨交易帳戶被取消，本人/吾等的子帳戶將被取消而不須另行通知。
7. 貴公司將於本人/吾等成功開立財智帳戶起，於本人/吾等上述證券/期貨交易帳戶內收取年費港幣 50 元作服務費，為期 12 個月。該費用將於期滿後每年自動續期從本人/吾等的證券/期貨交易帳戶內收取。費用一經收取，恕不退還。
8. 貴公司會將每一個獨立的財智帳戶之戶口號碼發送至本人/吾等已登記的電郵或地址。

CANCELLATION 取消 :

I/We request to cancel my/our ICBC Smart Account service with effect from _____.
 本人/吾等要求取消中國工商銀行財智帳戶服務，生效日期為_____。

Client Chinese Name: 客戶中文姓名:	Securities Account Number: 證券帳戶號碼:
Client English Name(Full Name): 客戶英文姓名:	Futures Account Number: 期貨帳戶號碼:
Client Signature: 客戶簽署 :	Date: 日期 :

Please post or submit to Customer Services Department, 40/F., Lee Garden One, 33 Hysan Avenue, Causeway Bay, Hong Kong. 請郵寄或遞交至香港銅鑼灣希慎道 33 號利園一期 40 樓客戶服務部

OFFICIAL USE ONLY

Prepared by : AE/Staff Signature	Signature Verified by : Customer Services Dept.	Approved by : Responsible Officer (RO)	Data Input by : Customer Services Dept.
Date	Date	Date	Date