

申請/取消互聯網執行交易通知及其風險披露聲明**Risk Disclosure Statement and Notification of Application for receiving/Opt out from Internet Trade Execution**

客戶名稱 Client Name : _____ 帳戶號碼 Account No. _____

1. 申請互聯網執行交易通知 / Application for receiving Internet Trade Execution Notification 以電郵通知 / Notify by Email 以短訊通知 / Notify by SMS**2. 取消互聯網執行交易通知 / Opt out of receiving Internet Trade Execution Notification** 取消電郵通知 / Opt out notification by Email 取消短訊通知 / Opt out notification by SMS

本人 / 吾等現不收取西證發出“互聯網執行交易”的通知。本人 / 吾等承認及確認本人 / 吾等明白下述之風險披露聲明:

I/We hereby opt out from “internet trade execution” notification given by Southwest. I/We acknowledge and confirm that I/We understand the risk disclosure statement as below:

“互聯網執行交易”的通知是增強客戶在互聯網執行交易的安全措施。如客戶選擇不收取“互聯網執行交易”的通知，客戶不能及時了解其帳戶執行交易情況，及識別未經客戶授權交易的執行，帳戶將有機會在被黑客入侵或被執行未經授權交易時，未能及時發現而引致帳戶資產損失。

“Internet trade execution” notification is to enhance client’s internet trading secure control. Client cannot be promptly known the status of trade execution, and identify any unauthorized trade execution in trading account if client chooses not to receive “Internet trade execution” notification. It may lead to loss of the client’s asset without prompt awareness of hacking or unauthorized trade execution in client’s trading account.

1. 本人 / 吾等承認、同意及確認不收取互聯網執行交易通知，並對發出的所有互聯網買賣指示，本人 / 吾等自行承擔全部責任。

I/We acknowledge, agree and confirm to opt out from Internet Trade Execution Notification that I/we shall be wholly and solely responsible for all instructions entered through the Internet Trading Services.

2. 除非西證收到本人 / 吾等以電子或書面形式發出要求重啟收取互聯網執行交易通知，否則西證不會發出所有互聯網執行交易通知。

The Notification of Internet Trade Execution will not be given unless and until you receive my/our request to restart the Notification of Internet Trade Execution either electronically or by hard copy.

3. 此不收取互聯網執行交易通知及其風險披露聲明乃客戶附加條款，此條款並不損害客戶協議內其他條款。Risk Disclosure Statement and Notification of opt out from Internet Trade Execution is a client additional clause; this clause is made without prejudice and in addition to all the other provisions.

客戶簽署及公司印章(如適用) Client Signature & Company Chop (if applicable)

日期 Date



請郵寄或遞交此表格至 香港銅鑼灣希慎道33號利園一期40樓 客戶服務部。Please post or submit this Form to Customer Services Department at 40/F., Lee Garden One, 33 Hysan Avenue, Causeway Bay, Hong Kong.

如有任何查詢，歡迎與閣下之投資顧問聯絡或致電客戶服務部熱線：2238-9238 / 電郵：swsc_dept_cs@swsc.hk。

Should you have any enquiries, please feel free to contact your investment consultant or call our Customer Services Department at hotline: 2238-9238 / email address: swsc_dept_cs@swsc.hk.

Signature Verified by : Customer Services Dept.	Approved by : Responsible Officer(RO)	Date Input by: Customer Services Dept.	Date Checked by: Customer Services Dept.