

GLOBAL MARKET
GLOBAL SECURITIES SERVICES CHARGES
Global Market Trade-related services

Product / Market		Brokerage	Minimum Brokerage	Settlement Date
United States	Brokerage (online)	0.50%	US\$50	T+2
	Brokerage (Telephone)	0.75%	US\$60	
		* Each transaction order will be calculated separately.		
United Kingdom		0.75%	US\$75	T+2
	* Each transaction order will be calculated separately.			
Europe		0.75%	US\$75	T+2
	* Each transaction order will be calculated separately.			
Canada		0.75%	US\$75	T+2
	* Each transaction order will be calculated separately.			
Australia		0.50%	US\$50	T+2
	* Each transaction order will be calculated separately.			
Shanghai B		0.50%	US\$45	T+3
Shenzhen B		0.50%	HK\$350	T+3
Singapore (USD)		0.50%	US\$60	T+3
Singapore (SGD)		0.50%	SGD70	T+3
Thailand		0.75%	US\$90	T+2
Korea		0.75%	US\$90	T+2
Japan		0.50%	US\$50	T+3

Scrip handling and settlement-related services

Particular	Fees/Charges	Minimum	Maximum
Settlement instruction fee (Transfer out)	US\$5 per stock, relevant costs may be charged by other institutions.	-	-

Account maintenance

Particular	Fees/Charges	Minimum	Maximum
Unidentified Fund Deposit Fee	HK\$150 per transaction (or the whole deposit amount), whichever is lower		

Nominee services and corporate actions

Particular	Note	Fees/Charges	Minimum	Maximum
Entitlement	dividend distribution	0.5% on dividend distribution per transaction	US\$5	-
Distribution	bonus, rights, warrants, offer distribution	US\$5 per transaction	-	-
Exercise	rights, warrants, offer exercise	US\$5 per transaction		

Financing and other services

Financing charges		
Particular	Note	Charges (on yearly basis)
Cash/Margin account Overdue Interest	Other Dollars (Non-HK Dollar)	10% per annum

Plus Stock Exchange transaction fee / Out-of-pocket expenses, if any

The above charges are subject to revision from time to time without prior notice. For other products/services are not listed here and for further enquires, please contact your financial consultant or call our Customer Relations Department at hotline: 2238-9378 / email address : enquiry@swsc.hk