



Important Notice

Suspected Fraudulent Cases

(3 November 2016) Southwest Securities International Securities Limited (the “Company”) (stock code: 812.HK) has recently become aware of suspected fraudulent activities claiming to be conducted by the employees of the Company. The impostors pirated the Company’s name and logo to attract and lure victims into investment frauds. The cases have been reported to the Hong Kong Police for investigation.

We hereby declare that those impostors and their fraudulent activities, as well as business controversies that arise or may arise from and in connection therewith, are NOT associated with the Company. Please be aware of these fraudsters and scams to avoid any loss.

If you have any doubts about the identity of those purporting to be the management, employees, representatives or agents of Southwest Securities International Securities Limited and its subsidiaries, please contact us immediately for verification through the following channels:

Telephone: (852) 2238 9378

Email: swsc-cs@swsc.hk

Address: Room 1601, 03-08, 16/F Central Plaza, 18 Harbour Road, Wanchai, Hong Kong

We also recommend that you keep relevant records and report the case to your local police, public security bureau or unit, as appropriate.