

Stock Options Account Number and Online Trading System Changes

Thank you for your continuing support to Southwest Securities (HK) Brokerage Limited.

In order to provide a more efficient and rewarding services, we are pleased to inform you that we are in the process of upgrading our stock options system. The major changes that come with this update including:

- 1) You will be allocated with a "New Integrated Account Number".
- "Stock Options Trading System" will be adjusted, please visit our <u>company website</u> to download the system.
 - I. Download the new Stock Options Trading platform.
 - II. New version of "Stock Options Trading System" manual.
- 3) "Stock Options Account Number" will be adjusted.
- 4) Online trading login password will be reset (For details, please refer to the notice letter).

We will send you the detailing information with a notice on 28 July 2017. Please refer to the notice for details, download and Login to the new Stock Options Trading systems accordingly.

The above New Stock Options Account Number will become effective on 13 August 2017

We understand this may cause you some inconvenience. Thank you for your cooperation. We are continuing to make every effort to further enhance our services for your benefit.

Should you have any queries about this notice, please feel free to contact your Account Executive or Customer Services Hotline (852) 2238-9238.