

SOUTHWEST SECURITIES INTERNATIONAL SECURITIES LIMITED

西證國際證券股份有限公司*

(Incorporated in Bermuda with limited liability)

(Stock Code: 812)

LIST OF DIRECTORS AND THEIR ROLE AND FUNCTION

The members of the board of Directors (“**Board**”) of directors (the “**Directors**”) of Southwest Securities International Securities Limited are set out below.

Executive Directors

Mr. WU Jian* (*Chairman*)

Mr. PU Rui* (*Chief Executive Officer*)

Ms. ZHAO Dongmei*

Ms. WANG Huiyun*

Mr. XIONG Xiaoqiang*

Independent Non-executive Directors

Professor WU Jun*

Mr. MENG Gaoyuan*

Dr. GUAN Wenwei

There are 3 Board committees. The membership information of these committees on which each Board member serves are set out below.

Board Committee	Audit Committee	Remuneration Committee	Nomination Committee
Name of Director			
Mr. WU Jian*		M	C
Mr. PU Rui*			
Ms. ZHAO Dongmei*			
Ms. WANG Huiyun*			
Mr. XIONG Xiaoqiang*			
Professor WU Jun*	M	C	M
Mr. MENG Gaoyuan*	C	M	M
Dr. GUAN Wenwei	M	M	M

Notes:

C Chairman of the relevant Board committees

M Member of the relevant Board committees

Hong Kong, 28 February 2019

* For identification purpose only