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Southwest Securities International Securities Limited **西證國際證券股份有限公司***

(Incorporated in Bermuda with limited liability)

(Stock Code: 812)

POLL RESULTS OF THE ANNUAL GENERAL MEETING HELD ON 9 JUNE 2017

POLL RESULTS OF THE AGM

At the Annual General Meeting (the “AGM”) of Southwest Securities International Securities Limited (the “Company”) held on 9 June 2017, all the proposed resolutions as set out in the notice of the AGM dated 28 April 2017 were duly passed by the shareholders of the Company by way of poll.

As at the date of the AGM, the total number of issued shares in the Company was 2,441,220,409 shares, which was the total number of shares entitling the holders to attend and vote for or against the resolutions at the AGM. There is no restriction on any shareholders to cast votes on any of the resolutions at the AGM.

The Company’s Hong Kong branch share registrar, Computershare Hong Kong Investor Services Limited, was appointed as the scrutineer for vote-taking at the AGM. Set out below are the poll results in respect of the respective resolutions put to the vote at the AGM:

ORDINARY RESOLUTIONS		No. of votes (%)	
		FOR	AGAINST
1.	To receive and consider the report of the directors, the audited consolidated financial statements and the independent auditor’s report for the year ended 31 December 2016.	1,812,096,822 (100%)	0 (0%)
2.	To re-elect Mr. Wu Jian*, a retiring director, as an executive director of the Company.	1,812,096,822 (100%)	0 (0%)
3.	To re-elect Mr. Luo Yi, a retiring director, as an executive director of the Company.	1,812,096,822 (100%)	0 (0%)
4.	To re-elect Ms. Zhao Dongmei*, a retiring director, as an executive director of the Company.	1,812,096,822 (100%)	0 (0%)
5.	To re-elect Ms. Wang Huiyun*, a retiring director, as an executive director of the Company.	1,812,096,822 (100%)	0 (0%)

ORDINARY RESOLUTIONS		No. of votes (%)	
		FOR	AGAINST
6.	To re-elect Mr. Xiong Xiaoqiang*, a retiring director, as an executive director of the Company.	1,812,096,822 (100%)	0 (0%)
7.	To re-elect Professor Wu Jun*, a retiring director, as an independent non-executive director of the Company.	1,812,096,822 (100%)	0 (0%)
8.	To re-elect Mr. Meng Gaoyuan*, a retiring director, as an independent non-executive director of the Company.	1,812,096,822 (100%)	0 (0%)
9.	To re-elect Mr. Guan Wenwei, a retiring director, as an independent non-executive director of the Company.	1,812,096,822 (100%)	0 (0%)
10.	To authorise the board of directors of the Company to fix the remuneration of the directors.	1,812,096,822 (100%)	0 (0%)
11.	To re-appoint Pan-China (H.K.) CPA Limited as the auditor of the Company and to authorise the board of directors of the Company to fix its remuneration.	1,812,096,822 (100%)	0 (0%)
12.	To grant a general mandate to the directors of the Company to repurchase shares in the Company.	1,812,096,822 (100%)	0 (0%)
13.	To grant a general mandate to the directors of the Company to allot, issue and deal with additional shares in the Company.	1,812,096,822 (100%)	0 (0%)
14.	To extend the general mandate granted by resolution no. 13 by adding the shares purchased pursuant to the general mandate granted by resolution no. 12.	1,812,096,822 (100%)	0 (0%)

As more than 50% of the votes were cast in favour of each of the above ordinary resolutions, all the ordinary resolutions were duly passed by way of poll at the AGM.

By order of the Board
Southwest Securities International Securities Limited
Wu Jian *
Chairman

Hong Kong, 9 June 2017

As at the date of this announcement, the executive Directors are Mr. Wu Jian (Chairman), Mr. Pu Rui* (Chief Executive Officer), Mr. Luo Yi, Ms. Zhao Dongmei*, Ms. Wang Huiyun* and Mr. Xiong Xiaoqiang*; and the independent non-executive Directors are Professor Wu Jun*, Mr. Meng Gaoyuan* and Mr. Guan Wenwei.*

* For identification purpose only