

# Southwest Securities International Securities Limited

## 西證國際證券股份有限公司\*

(Incorporated in Bermuda with limited liability)

(Stock Code: 812)

### LIST OF DIRECTORS AND THEIR ROLE AND FUNCTION

The members of the board (the “**Board**”) of directors (the “**Directors**”) of Southwest Securities International Securities Limited are set out below.

#### Executive Directors

Mr. WU Jian\* (*Chairman*)

Mr. PU Rui\* (*Chief Executive Officer*)

Mr. LUO Yi

Ms. ZHAO Dongmei\*

Mr. WANG Huiyun\*

Mr. XIONG Xiaoqiang\*

#### Independent Non-Executive Directors

Professor WU Jun\*

Mr. MENG Gaoyuan\*

Mr. GUAN Wenwei

There are 3 Board committees. The membership information of these committees on which each Board member serves are set out below.

<b>Name of Director</b>	<b>Board Committee</b>	<b>Audit Committee</b>	<b>Remuneration Committee</b>	<b>Nomination Committee</b>
Mr. WU Jian*			M	C
Mr. PU Rui*				
Mr. LUO Yi				
Ms. ZHAO Dongmei*				
Mr. WANG Huiyun*				
Mr. XIONG Xiaoqiang*				
Professor WU Jun*		M	C	M
Mr. MENG Gaoyuan*		C	M	M
Mr. GUAN Wenwei		M	M	M

Notes:

C Chairman of the relevant Board committees

M Member of the relevant Board committees

Hong Kong, 27 March 2017

\* For identification purpose only